



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/940,673	08/27/2001	David H. Gorski	22311-04015

CONFIRMATION NO. 5565

24024  
CALFEE HALTER & GRISWOLD, LLP  
800 SUPERIOR AVENUE  
SUITE 1400  
CLEVELAND, OH 44114

## FORMALITIES LETTER



\*OC000000007086076\*



COPY OF PAPERS  
ORIGINALLY FILED

Date Mailed: 11/19/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$240.
  - \$240 for 3 independent claims over 3.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1080.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

05/21/2002 YGIZAW

00000004 09940673

01 FC:101  
02 FC:102  
03 FC:105

740.00 OP  
252.00 OP  
130.00 OP

A copy of this notice **MUST** be returned with the reply.

01/22/2002 ANAB11

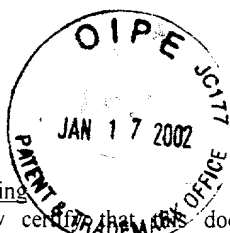
01 FC:101  
02 FC:102  
03 FC:105

Adjustment date: 05/21/2002 YGIZAW  
01/22/2002 ANAB11 00000058 60940673  
-740.00 OP  
-252.00 OP  
-130.00 OP  
03 FC:105

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



0360  
4

Certificate of Mailing

I hereby certify that this document is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner of Patents, Box Missing Parts, Washington, D.C. 20231, on this 10<sup>th</sup> day of December, 2001.

Typed or Printed Name of Person Signing this Certificate:  
Wendy A. Frick

**COPY OF PAPERS  
ORIGINALLY FILED**

Signed: Wendy A. Frick

**PATENT  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of	)	Examiner: Not yet assigned
Gorski, et al.	)	
Serial No. <u>09</u> 60/940,673	)	Group Art Unit: 1652
Filed: August 27, 2001	)	
For: <b>GROWTH ARREST HOMEBOX GENE</b>	)	Attorney Docket No.: 22311/04015

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Dear Sir:

In response to the Notice to File Missing Parts dated November 19, 2001, applicants respectfully submit the enclosed ten (10) pages of Formal Drawings and a check in the amount of \$1,458.00 as payment of the basic filing fee, appropriate surcharge and additional claims fees. A copy of the Notice to File Missing Parts received from the Patent Office is also enclosed.

If additional fees are required please charge them to our Deposit Account No. 03-0172. Please credit any overpayment to same Deposit Account. A duplicate of this paper is attached.

Repln. Ref: 01/22/2002 ANPR11 0012401800  
DAH: 030172 Name/Number: 60940673  
FC: 704 \$336.00 CR

Pamela A. Docherty  
Pamela A. Docherty, Reg. No. 40,591  
(216) 622-8416

Date: December 10, 2001

Pamela A. Docherty, Reg. No. 40,591  
(216) 622-8416